



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, January 30, 2019 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

RICHARD ROHM, Chair

LAURA PARISI, Vice Chair

GARY CAPATA, Member

ALISA CUTCHEN, Member

FRANK KIM, Member

DR. AL MIJARES, Member

ERIC WOOLERY, Member

1. Call to Order

2. Welcome

3. Public Comments

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that NO action may be taken on off-agenda items unless authorized by law.

4. Minutes

- Approve July 18, 2018 and November 19, 2018 Minutes

5. New Business

- Receive and File Treasurer's Monthly Investment Report for July, August, September, October, November and December
- Receive and File September and December 2018 TOC Compliance Summaries
- Receive and File Report on Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended June 30, 2018
- Receive and File Annual Treasury Compliance Audit for the Eighteen-Month Period Ended June 30, 2017
- Receive and File Report on Review of the Schedule of Assets Held by the County Treasury as of March 31, 2018
- Review and Approve 2018 TOC Annual Report
- Receive and File Office of the Treasurer Broker-Dealer / Financial Institution List as of 9/30/18
- Review Annual Certificate of Compliance
- Review AB1234 Mandatory Ethics Training Requirements – Due December 31, 2018
- Review Form 700 Filing – Due April 1, 2019
- Elect Chair and Vice Chair

6. Chair's Report

7. Treasurer's Report

8. Committee Member Comments

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that NO action may be taken on off-agenda items unless authorized by law.

9. Adjournment to Wednesday, April 24, 2019 at 3:00 P.M., Auditor/Controller's Conf. Room #300