



OFFICE OF THE TREASURER-TAX COLLECTOR  
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



**TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA**

Wednesday, October 28, 2020 at 3:00 p.m.

MEETING HELD BY TELECONFERENCE ONLY

The agenda is available online at: [ttc.ocgov.com/investments/toc/agemin](http://ttc.ocgov.com/investments/toc/agemin)

\*\*\* Pursuant to the provisions of California Governor's Executive Order N-29-20, issued on March 17, 2020, this meeting will be held by teleconference only. To attend and participate in the meeting, please follow the instructions, below. \*\*\*

This agenda contains a brief description of each item to be considered. To speak on an item, make a verbal request through the Treasury Oversight Committee (TOC) Chairperson following the Chairperson's invitation from the public to speak on the item. Once acknowledged and prompted by the Chairperson, you may begin to speak. When addressing the TOC, it is requested that you state your name, city of residence, and occupation for the record. Speakers may address the TOC on an item on the agenda for up to three minutes. You may request supporting documentation distributed to the TOC as related to the agenda items upon request by sending an email to [Treasurer@ttc.ocgov.com](mailto:Treasurer@ttc.ocgov.com). In compliance with the American with Disabilities Act, those requiring reasonable accommodations for this meeting should notify the Treasurer-Tax Collector by contacting Shari Freidenrich at [Treasurer@ttc.ocgov.com](mailto:Treasurer@ttc.ocgov.com).

**\*\*\* INSTRUCTIONS FOR ATTENDING THE MEETING BY TELECONFERENCE \*\*\***

To [Join Skype Meeting](#), Trouble Joining: [Try Skype Web App](#)

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**ALISA BACKSTROM**, Chair

**RICHARD ROHM**, Vice Chair

**GARY CAPATA**, Member

**LAURA PARISI**, Member

**DR. AL MIJARES**, Member

**FRANK KIM**, Member

**FRANK DAVIES**, Member

**Call to Order**

**Welcome**

**Public Comments**

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that NO action may be taken on off-agenda items unless authorized by law.

**Minutes**

- Approve July 29, 2020 Treasury Oversight Committee Minutes

**New Business**

- Receive and File Treasurer's Monthly Investment Report for July, August and September
- Receive and File September 2020 TOC Compliance Summary
- Receive and File the Schedule of Assets Held by the County Treasurer as of June 30, 2018
- Receive and File the Schedule of Assets Held by the County Treasurer as of March 31, 2020
- Receive and File Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended June 30, 2020
- Review and Approve Bylaws and Rules of Procedures
- Review Investment Policy Statement
- Review Irregularity Procedures
- Receive and File Office of the Treasure Broker-Dealer / Financial Institution List as of 9/30/2020
- Review Annual Certificate of Compliance
- Review AB 1234 Mandatory Ethics Training Requirements

**Chair's Report**

**Treasurer's Report**

**Committee Member Comments**

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that NO action may be taken on off-agenda items unless authorized by law.

**Adjournment to Wednesday, January 27, 2021 at 3:00 P.M.**